



Attorney Docket No.: SHIM1120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Aizawa et al.

Art Unit:

1648

Serial No.:

09/830,019

Examiner

E. M. Le

Filed:

September 21, 2001

Conf. No.

9316

Title:

VACCINE PREPARATIONS CONTAINING ATTENUATED TOXIN

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.56, enclosed is a reference relating to the above-identified application. For the convenience of the Examiner, the reference is listed on the attached Form PTO-1449.

It is respectfully requested that these references be considered in the examination of this application and their consideration be made of written record in the application file.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service with sufficient postage as first class mail on this date, June 22, 2005, in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Karin E. Harden

(Name of Person Mailing Paper)

In re Application of:

Aizawa et al.

Application No.: 09/830,019 Filed: September 21, 2001

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PATENT Attorney Docket No.: SHIM1120

Check No. 578241 in the amount of \$180.00 is enclosed as set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement. However, the Commissioner is hereby authorized to charge the any other fees associated with the filing submitted herewith, or credit any overpayment, to Deposit Account No. 07-1896.

Respectfully submitted,

Date: June 22, 2005

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complete if Known			
Application Number	09/830,019	*	
Filing Date	September 21, 2001		
First Named Inventor	Aizawa et al.		
Art Unit	1648		
Examiner Name	E.M. Le		
Attorney Docket Number	SHIM1120		

U. S. PATENT DOCUMENTS					
Examiner Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	
	Number-Kind Code ^{2 (if known)}	MM-DD-YYYY		Relevant Passages or Relevant Figures Appear	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	ВА	WO 96/34623	11/07/1996	Vose et al.		
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Examiner	•	Date	
Signature		 Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97, and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.